

Our Docket No: 003801.P001C3

#2
4-2-01
JM
JC971 U.S. PTO
09/777306
02/05/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
Hess et al.)	Examiner: Not yet assigned
)	
Application No: Not Yet Assigned)	Art Unit: Not yet assigned
)	
Filed: Concurrently Herewith)	
)	
For: Information Presentation and)	
Management in an Online Trading)	
Environment)	
)	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO-1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form PTO-1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL750127913US
I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

February 5, 2001

Date of Deposit

Debbie Pelugin

Name of Person Mailing Correspondence

Deborah Pelugin 2-5-01

The Information Disclosure Statement is being filed:

- ☒ (a) within three months of the filing date of the patent application, (b) within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 of the international application, or (c) before the mailing date of a first Office Action on the merits.
- ☐ after (a), (b), or (c) above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, and includes:
- ☐ the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below).
- ☐ the fee of \$240 set forth in 37 C.F.R. § 1.17(p) (see "Fees" below).
- ☐ after the mailing date of a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, and includes the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below), a Petition requesting consideration of the Information Disclosure Statement (see "Petition" below), and the Petition Fee set forth in 37 C.F.R. § 1.17(i) (see "Fees" below).

Copies Of The References

- ☒ Copies of all references listed on the enclosed Form PTO-1449 are enclosed herewith. Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 C.F.R. § 1.98(a)(3).
- ☐ A copy of the foreign search report is enclosed herewith.
- ☐ All references listed on the enclosed Form PTO-1449 were previously identified in the parent application of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 C.F.R. § 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 U.S.C. § 120 in which copies of the references were previously furnished are set out below:

U.S. APPLICATIONS		Status (<i>check one</i>)		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1. 09/436,566	November 9, 1999		X	
2. 6,058,417	May 2, 2000 (Issued)	X		
3.				

Other Applications

☒ The Examiner's attention is directed to the following U.S. patent application(s):

U.S. APPLICATIONS		Status (<i>check one</i>)		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1. 09/436,566	November 9, 1999		X	
2. 6,058,417	May 2, 2000 (Issued)	X		
3. 09/542, 464			X	

Certification

- ☐ The **undersigned attorney** hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The **undersigned attorney** hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the attorney signing after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Petition

- ☐ The undersigned attorney hereby petitions the Commissioner to consider this Information Disclosure Statement and the references listed on the enclosed Form PTO-1449 in the examination of the above-identified patent application.

Fees

- ☒ No fee is owed by the applicant(s).
- ☐ The **IDS Fee of \$240.00** under 37 C.F.R. § 1.17(p) is enclosed herewith.
- ☐ The **Petition Fee of \$130.00** set forth in 37 C.F.R. § 1.17(i) is enclosed herewith.

Method Of Payment Of Fees

- ☐ Attached is a check in the amount of \$ 240.00.
- ☐ Charge Deposit Account No. 02-2666 in the amount of \$. (A duplicate copy of this communication is enclosed for that purpose.)

Authorization To Charge Additional Fees

- ☒ If any additional fees are owed in connection with this communication, please charge Deposit Account No. 02-2666. (A duplicate copy of this communication is enclosed for that purpose.)

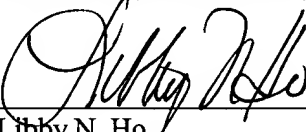
Instructions As To Overpayment

- ☒ Credit Account No. 02-2666.
☐ Refund

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: February 5, 2001



Libby N. Ho
Reg. 46, 774

12400 Wilshire Blvd., 7th Floor
Los Angeles, CA 90025-1026
(303) 740-1980
(303) 740-6962 (facsimile)

US Department of Commerce Patent and Trademark Office Form PTO-1449 (Modified)			Atty. Docket No.: 003801.P001C3		Application No.: Not yet assigned	
			Applicant: Hess et al.			
			Filing Date: Concurrently herewith			

JCS971 U.S. PTO
 09/777306
 02/05/01

US Patent Documents							
Examiner's Initials	Date	Document Number	Name	Class	Sub-Class	Filing Date	
	08/11/98	5,794,216	Brown	705	27	7/14/95	
	04/07/98	5,737,599	Rowe et al.	395	615	12/7/95	
	03/16/99	5,884,056	Steele	395	339	12/28/95	
	06/23/98	5,771,380	Tanaka et al.	395	615	10/7/96	
	01/06/98	5,706,457	Dwyer et al.	395	349	6/7/95	
	01/28/97	5,598,557	Doner et al.	395	605	9/22/92	
	09/17/96	5,557,728	Garrett et al.	395	157	11/22/94	

Foreign Patent Documents					
Examiner's Initials	Date	Document Number	Country	Class	Translation
	08/13/98	WO 98/35468	Patent Cooperation Treaty	H04L	
	09/29/94	WO 94/22108	Patent Cooperation Treaty	G06K 9/36, 9/46	

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)	
	Yahoo Auctions Website, downloaded 10/23/98 from http://auctions.yahoo.com
	Onsale Auction Website, downloaded 10/23/98 from http://www.onsale.com
	Netgrocer Website, downloaded 10/23/98 from Website http://www.netgrocer.com
	Auction Universe Website, downloaded 10/23/98 from http://www.auctionuniverse.com
	European Patent Office Search Report, RS 102472 US, 4 pages.
	Hong et al, "Multilingual Information Retrieval System", Multimedia Storage and Archiving Systems, Nov. 18-19, 1996.
	Liepins et al, "A Browser Based Image Bank, Useful Tool or Expensive Toy", MEDNET97, November, 1997.
	Mohan, "Text-Based Search of TV News Stories", Multimedia Storage and Archiving Systems, Nov. 18-19, 1996.
Examiner	Date Considered

Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw a line through the citation if not in conformance and not considered. Include a copy of this form with the next communication to the applicant

US Department of Commerce Patent and Trademark Office Form PTO-1449 (Modified)			Atty. Docket No.: 003801.P001C3		Application No.: Not Yet Assigned	
			Applicant: Hess et al.			
			Filing Date: Concurrently Herewith			
US Patent Documents						
Examiner's Initials	Date	Document Number	Name	Class	Sub- Class	Filing Date
	12/02/97	5,694,546	Reisman	395	200	5/31/94
	09/05/89	4,864,516	Gaither et al.	364	518	3/10/86
	06/02/98	5,760,917	Sheridan	358	442	9/16/96
	08/11/98	5,794,219	Brown	705	37	2/20/96
	08/18/98	5,796,395	deHond	345	331	3/11/97
	11/10/98	5,835,896	Fisher et al	705	37	03/29/96
	03/30/99	5,890,138	Godin et al.	705	26	08/26/96
	12/26/00	6,167,382	Sparks et al.	705	26	06/01/98
	07/07/98	5,778,367	Wesinger, Jr. et al.	707	10	12/14/95
Foreign Patent Documents						
Examiner's Initials	Date	Document Number	Country	Class	Translation	
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)						
	PCT/US99/18510 date mailed 10/25/99					
	Auctiva Showcase, downloaded 01/15/01 from http://www.auctiva.com					
	AuctionWatch.com, downloaded 01/15/01 from http://www.auctionwatch.com					
Examiner			Date Considered			

Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw a line through the citation if not in conformance and not considered. Include a copy of this form with the next communication to the applicant